

# DECLARATION/ POWER OF ATTORNEY FOR UTILITY OR DESIGN PATENT APPLICATION

☐ Declaration  
Submitted  
With Initial  
Filing  
(37 CFR 1.63)

☒ Declaration  
Submitted after Initial  
Filing (surcharge  
(37 CFR 1.16 (e))  
required)

☐ Supplemental  
Declaration  
(37 CFR 1.67)

Attorney Docket Number:

NOVE-110US

First Named Inventor:

Simon William BARKER

## COMPLETE IF KNOWN

Application Number:

To Be Assigned

Filing Date:

Herewith

Art Unit:

To Be Assigned

Examiner Name:

To Be Assigned

### I hereby declare that:

Each inventor's residence, mailing address, and citizenship are as stated below next to their name.

I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

SURFACE TEXTURING OF CASTING BELTS OF CONTINUOUS CASTING MACHINES

(Title of the Invention)

the specification of which

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY) October 1, 2004 as United States Application or PCT International Application Number PCT/CA2004/001780 and was amended on (MM/DD/YYYY) \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				Yes	No
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet attached hereto.

# Declaration/Power Of Attorney for Utility or Design Patent Application (continued)

I hereby appoint:

☒ Practitioners at Customer Number **23122****OR**☐ Practitioner(s) named below:

Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Direct all correspondence to:

☒ Practitioners Customer Number listed above; **OR**☐ Correspondence Address Below

Name:

Address:

City:

State:

Zip:

Country:

Telephone:

Fax:

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**Name of Sole or First Inventor:**☐ A Petition has been filed for this unsigned inventor.

Given Name (first and middle (if any))

Family Name or Surname

Simon William

Barker

Inventor's Signature

Date: May 12, 2006

Residence: City: Kingston, Ontario

State:

Country: Canada

Citizenship:

Mailing Address: 300 Nelson Street

Mailing Address:

City: Kingston, Ontario

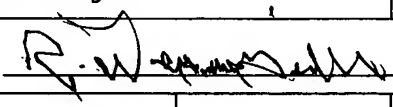
State:

Zip: K7K 4M8

Country: Canada

☒ Additional inventors are listed on the next page.

# Declaration/Power Of Attorney for Utility or Design Patent Application (continued)

<b>Name of Second Inventor:</b>		<input type="checkbox"/> A Petition has been filed for this unsigned inventor.	
Given Name (first and middle (if any))		Family Name or Surname	
Ronald Roger		DESROSIERS	
Inventor's Signature 		Date: May 8 2006	
Residence: City: Kingston, Ontario	State:	Country: Canada	Citizenship:
Mailing Address: 782 Alfred Crescent			
Mailing Address:			
City: Kingston, Ontario	State:	Zip: K7k 4K4	Country: Canada
<b>Name of Third Inventor:</b>		<input type="checkbox"/> A Petition has been filed for this unsigned inventor.	
Given Name (first and middle (if any))		Family Name or Surname	
Inventor's Signature _____		Date: _____	
Residence: City:	State:	Country:	Citizenship:
Mailing Address:			
Mailing Address:			
City:	State:	Zip:	Country:
<b>Name of Fourth Inventor:</b>		<input type="checkbox"/> A Petition has been filed for this unsigned inventor.	
Given Name (first and middle (if any))		Family Name or Surname	
Inventor's Signature _____		Date: _____	
Residence: City:	State:	Country:	Citizenship:
Mailing Address:			
Mailing Address:			
City:	State:	Zip:	Country:
<input type="checkbox"/> Additional inventors are listed on Supplemental Sheet(s).			

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Simon William BARKER, and Ronald Roger DESROSIERS  
Serial No.: 10/574,414  
Filed : March 31, 2006  
For : SURFACE TEXTURING OF CASTING BELTS OF CONTINUOUS  
CASTING MACHINES

1185 Avenue of the Americas  
New York, New York 10036

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

**REVOCATION OF POWER OF ATTORNEY,  
APPOINTMENT OF NEW ATTORNEYS BY  
ASSIGNEE AND CHANGE OF CORRESPONDENCE ADDRESS**

The assignee of record of the entire interest of the above-identified application, Novelis Inc., hereby revokes all powers of attorney previously granted and instead appoints the following attorneys: Christopher C. Dunham, Reg. No. 22,031; Richard S. Milner, Reg. No. 33,970; Ivan S. Kavrukov, Reg. No. 25, 161; Norman H. Zivin, Reg. No. 25,385; John P. White, Reg. No. 28,678; Robert D. Katz, Reg. No. 30,141, and each of them, all c/o Cooper & Dunham LLP of 1185 Avenue of the Americas, New York, New York 10036 (Tel. 212-278-0400), as attorneys, each with full power of substitution and revocation, to prosecute this application and any application related thereto and to transact all business in the U.S. Patent and Trademark Office connected with this application and to transact all business in foreign and international patent offices connected with this application.

Applicants: Simon W. BARKER et al.  
Serial No.: 10/574,414  
Filing Date: March 31, 2006  
Page 2

I certify that the assignee of record has obtained the entire right, title and interest to this application by an Assignment from Simon William BARKER, and Ronald Roger DESROSIERS to Novelis Inc. A copy of a Certificate Under 37 C.F.R. §3.73(b) and the Assignment are attached hereto.


I certify that I am authorized to sign this document on behalf of Novelis Inc.

Please change the correspondence address for all purposes, including all correspondence concerning maintenance fees, and direct all telephone calls regarding this application to:

Christopher C. Dunham  
Registration No. 22,031  
Attorney for Assignee  
Cooper & Dunham LLP  
1185 Avenue of the Americas  
New York, New York 10036  
(212) 278-0400  
Customer No. 23432

Novelis Inc.

22 Aug 2006  
Date

By:   
Name: David Kennedy  
Title: Corporate Secretary

**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: BARKER, Simon William et al.Application No./Patent No./Control No.: \_\_\_\_\_ Filed/Issue Date: March 31, 2006Entitled: SURFACE TEXTURING OF CASTING BELTS OF CONTINUOUS CASTING MACHINESNOVELIS INC., a CORPORATION

(Name of Assignee)

(Type of Assignee: corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_ %)

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or a true copy of the original assignment is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

- ☐ Additional documents in the chain of title are listed on a supplemental sheet.

As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

David Kennedy  
Signature

MAY 15/2006  
Date

Corporate Secretary  
Printed or Typed Name

416-304-0423  
Telephone Number

\_\_\_\_\_  
Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

49133-A  
U.S. ASSIGNMENT  
PENDING DES/PAT APPLICATION  
INDIVIDUAL(S) TO CO/IND

I/We,

**Simon William BARKER** of 300 Nelson Street, Kingston,  
Ontario K7K 4M8 Canada

**Ronald Roger DESROSIERS** of 782 Alfred Crescent, Kingston,  
Ontario K7K 4K4 Canada

in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, do hereby sell and assign to

**NOVELIS INC.** of  
70 York Street, Suite 1510  
Toronto, Ontario M5J 1S9  
CANADA

hereinafter called the assignee, the entire right, title and interest in and to the invention entitled

***SURFACE TEXTURING OF CASTING BELTS OF CONTINUOUS CASTING MACHINES***

as disclosed in the United States patent application Serial No. \_\_\_\_\_ filed March 31, 2006.

and in and to said United States patent, and in and to any and all reissues and extensions thereof, in and for the United States, Canada, and all other countries, the same to be held and enjoyed by the said assignee, its successors, assigns, or legal representatives to the full ends of the terms for which all Letters Patent therefor is granted, as fully and entirely as the same would have been held and enjoyed by us if this assignment and sale had not been made, this assignment including the right to take action and recover in respect of any infringement of the patent that took place prior to the date of this assignment.

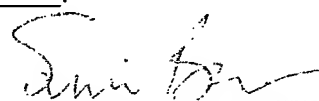
SIGNED at \_\_\_\_\_

this \_\_\_\_\_ day of \_\_\_\_\_

Date : 12<sup>th</sup> MAY 2006

Witness : R Y Flavelle

Address : R. Yvonne Flavelle  
963 Kingston Street  
Kingston, Ontario  
CANADA K7M1V2

  
\_\_\_\_\_  
Simon William BARKER

Page 2  
Novelis Inc.  
U.S. Serial No.  
File No. 49133-A



Date

: 8 May 2006

Witness

Linda Cooper

Address

2385 Kullidge Rd  
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M0H 2T0

Ronald Roger DESROSIERS